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BIBDATASHEET

CONFIRMATION NO. 1548

Bib Data Sheet

SERIAL NUMBER 09/955,394	FILING OR 371(c) DATE 09/17/2001 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. 02821.P004X
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APPLICANTS

Jason S. Scott, San Carlos, CA;
Christopher L. Jones, Redwood Shores, CA;
James W. Shearer, San Francisco, CA;
John G. Watson, Menlo Park, CA;

** CONTINUING DATA *****

This application is a CIP of 09/151,715 09/11/1998 PAT 6,292,787

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **

** 10/25/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	CA	10	41	7

Verified and
Acknowledged

Examiner's Signature _____ Initials _____

ADDRESS					
Michael A. DeSanctis BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 7th Floor 12400 Wilshire Boulevard Los Angeles, CA90025					
TITLE					
ENHANCING UTILITY AND DIVERSIFYING MODEL RISK IN A PORTFOLIO OPTIMIZATION FRAMEWORK					

FILING FEE RECEIVED 1863	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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Verified and Acknowledged Examiner's Signature Initials

ADDRESS

64128

TITLE

ENHANCING UTILITY AND DIVERSIFYING MODEL RISK IN A PORTFOLIO OPTIMIZATION FRAMEWORK

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